

#### Overview of the SCAN Policies Database

The State Child Abuse and Neglect (SCAN) Policies Database compiles data on the definitions and policies that states use in their surveillance of child maltreatment, along with data on associated risk and protective factors. The SCAN Policies Database is funded by the Office of Planning, Research, and Evaluation in collaboration with the Children's Bureau in the Administration for Children and Families, U.S. Department of Health and Human Services. Mathematica leads this project in partnership with Child Trends.

The project's purpose is to review and compile information from states' definitions and policies to create a database of those definitions and policies that can be used for analysis. The SCAN Policies Database is a resource for researchers, analysts, child welfare agency staff, and others interested in examining differences between states in their definitions and policies on child maltreatment and how they change over time.

#### Content

The scope of the SCAN Policies Database includes information about state definitions and policies related to child abuse and neglect for all 50 states, the District of Columbia, and the Commonwealth of Puerto Rico. The SCAN Policies Database team gathered this information through a document collection, review, and coding process. The team obtained input from states on data collection through a confirmation and verification process. More information about these data collection procedures can be found in the data user's guide and data collection protocol, accessible at <a href="https://www.scanpoliciesdatabase.com/data-use-resources">https://www.scanpoliciesdatabase.com/data-use-resources</a>.

The SCAN Policies Database 2021 represents data collected, reviewed, and verified between July 2021 and January 2022. The data reflect the state definitions and policies for the calendar year 2021. The scope of topics in the database includes states' definitions of child abuse and neglect, as well as information about policies related to reporting, screening, and investigating child maltreatment. Key aspects of the child welfare systems' response and context are also included.

The content in the SCAN Policies Database is organized into six domains. The state profiles, codebook, data collection protocol, and data file are also organized by these domains. In the protocol, each question begins with a letter prefix identifying the domain for each variable. The six domains with the identifying protocol number prefix are listed below.

Domain	Question prefix
Definitions	D
Reporting	R
Screening	S
Investigation	I
Child welfare response	W
Child welfare system context	С

### State profile

This SCAN Policies Database state profile is a summary of the information collected about the definitions and policies for the identified state. It is organized by six domains, with each section containing a set of tables that depict the state's information for all variables within that domain.

The state profile presents data for each variable with "yes," "no," "unknown," or other response as appropriate. The response of "unknown" appears for topics that could not be located from the state's available resources or verified with that state. In some cases, "logical skip" appears when a question was not applicable to a particular state, given a related response on a preceding question.

#### Data use resources

Several data use resources are available to support users of the SCAN Policies Database:

- Data user's guide: The guide has detailed information about the data set, including the process used to collect and review the data, the scope of information included in the data set, guidance on using the data, such as how to link the data with other data sources, and notes about specific topics. The data user's guide includes appendices with information on corrections to the SCAN Policies Database 2019 and a summary of changes to the data between 2019 and 2021 that reflect changes to state laws and policies during that time period. There is one data user's guide that is updated and applicable for all rounds of data collection for the SCAN Policies Database.
- Codebook: The codebook provides information about each variable in the data set, including variable names, labels, definitions, protocol number, variable type, and frequencies. The codebook includes several appendices, including a list of all sources used to review and code data. There are separate codebooks to summarize the data from each round of data collection.
- **Data collection protocol:** The protocol has the questions used to collect information about states' statutes and policies as part of the data review and coding process. There are separate data collection protocols for each round of data collection for the SCAN Policies Database.

These data use resources can be found on the SCAN Policies Database website (<a href="https://www.scanpoliciesdatabase.com/data-use-resources">https://www.scanpoliciesdatabase.com/data-use-resources</a>) or from the National Data Archive for Child Abuse and Neglect (NDACAN) (<a href="https://www.ndacan.acf.hhs.gov/">https://www.ndacan.acf.hhs.gov/</a>).

#### More information

More information about the SCAN Policies Database can be found at <a href="https://www.scanpoliciesdatabase.com">https://www.scanpoliciesdatabase.com</a>. General inquiries can be submitted to <a href="mailto:SCANPoliciesDatabase@mathematica-mpr.com">SCANPoliciesDatabase@mathematica-mpr.com</a>.

# State identifying information

# Table I. State identifying information

	Response
a. State abbreviation	UT
b. State Federal Information Processing Standard (FIPS) code	49
c. Census region code	West
d. State verified coding of information	Yes
e. State confirmed documents reviewed	Yes
f. State definitions and policies for calendar year	2021
g. Data version	2021v1

2021

## **Domain D: Definitions of child maltreatment**

Table II. State's definition of child maltreatment

1. Types of maltreatment included in state definition a. Physical abuse b. Excessive corporal punishment c. Sexual abuse d. Emotional maltreatment Yes e. Neglect f. Inadequate clothing g. Inadequate shelter h. Malnourishment, inadequate food i. Medical neglect, inadequate medical care j. Failure to thrive k. Educational neglect l. Abandonment m. Injurious environment. Likelihood of harm to child's health, physical well-being n. Drug lab. Child present within structure where
a. Physical abuse b. Excessive corporal punishment c. Sexual abuse d. Emotional maltreatment yes e. Neglect f. Inadequate clothing yes g. Inadequate shelter h. Malnourishment, inadequate food i. Medical neglect, inadequate medical care yes j. Failure to thrive k. Educational neglect Yes m. Injurious environment. Likelihood of harm to child's health, physical well-being n. Drug lab. Child present within structure where Yes
b. Excessive corporal punishment C. Sexual abuse Yes  d. Emotional maltreatment Yes  e. Neglect Yes  f. Inadequate clothing Yes  g. Inadequate shelter Yes  h. Malnourishment, inadequate food Yes  i. Medical neglect, inadequate medical care Yes  j. Failure to thrive Yes  k. Educational neglect Yes  m. Injurious environment. Likelihood of harm to child's health, physical well-being  n. Drug lab. Child present within structure where  Yes
c. Sexual abuse  d. Emotional maltreatment  e. Neglect  f. Inadequate clothing  g. Inadequate shelter  h. Malnourishment, inadequate food  i. Medical neglect, inadequate medical care  j. Failure to thrive  k. Educational neglect  I. Abandonment  m. Injurious environment. Likelihood of harm to child's health, physical well-being  n. Drug lab. Child present within structure where  Yes  Yes  Yes  Yes  Yes  Yes  Yes
d. Emotional maltreatment  e. Neglect f. Inadequate clothing g. Inadequate shelter Yes h. Malnourishment, inadequate food i. Medical neglect, inadequate medical care yes j. Failure to thrive Yes k. Educational neglect I. Abandonment Yes m. Injurious environment. Likelihood of harm to child's health, physical well-being n. Drug lab. Child present within structure where Yes
e. Neglect f. Inadequate clothing g. Inadequate shelter h. Malnourishment, inadequate food i. Medical neglect, inadequate medical care j. Failure to thrive k. Educational neglect l. Abandonment Yes m. Injurious environment. Likelihood of harm to child's health, physical well-being n. Drug lab. Child present within structure where Yes
f. Inadequate clothing g. Inadequate shelter Yes h. Malnourishment, inadequate food Yes i. Medical neglect, inadequate medical care Yes j. Failure to thrive Yes k. Educational neglect Yes l. Abandonment Yes m. Injurious environment. Likelihood of harm to child's health, physical well-being n. Drug lab. Child present within structure where Yes
g. Inadequate shelter  h. Malnourishment, inadequate food  i. Medical neglect, inadequate medical care  j. Failure to thrive  k. Educational neglect  l. Abandonment  Yes  m. Injurious environment. Likelihood of harm to child's health, physical well-being  n. Drug lab. Child present within structure where  Yes  Yes  Yes
h. Malnourishment, inadequate food i. Medical neglect, inadequate medical care j. Failure to thrive k. Educational neglect l. Abandonment Yes  m. Injurious environment. Likelihood of harm to child's health, physical well-being n. Drug lab. Child present within structure where Yes
i. Medical neglect, inadequate medical care  j. Failure to thrive  k. Educational neglect  l. Abandonment  Yes  m. Injurious environment. Likelihood of harm to child's health, physical well-being  n. Drug lab. Child present within structure where  Yes
j. Failure to thrive Yes  k. Educational neglect Yes  l. Abandonment Yes  m. Injurious environment. Likelihood of harm to child's health, physical well-being  n. Drug lab. Child present within structure where Yes
k. Educational neglect Yes  I. Abandonment Yes  m. Injurious environment. Likelihood of harm to child's health, physical well-being  n. Drug lab. Child present within structure where Yes
I. Abandonment  The second of
m. Injurious environment. Likelihood of harm to child's health, physical well-being  n. Drug lab. Child present within structure where  Yes
health, physical well-being  n. Drug lab. Child present within structure where  Yes
··· = · · · · · · · · · · · · · · · · ·
methamphetamine is being created
o. Inadequate supervision. Failure to meet parent or caretaker responsibilities  Yes
p. Drug or alcohol misuse. Parental drug or alcohol misuse causing harm to child  Yes
q. Prenatal exposure to drugs or alcohol Yes
r. Illicit substance. Illegally providing a controlled substance to a child
s. Human trafficking, involuntary servitude, sexual servitude
t. Female genital mutilation Yes
u. Shaken baby syndrome, abusive head trauma Yes
v. Failure to protect. Failure to protect from harm  Yes
w. Domestic violence. Exposure to domestic violence Yes
x. Factitious disorder by proxy Yes
y. Institutional abuse/neglect Yes

2021

## Table II (continued)

	Response
z. Other definition (specify)	Yes - A child at risk of being neglected or abused because another child in the same home is neglected or abused; Dealing in material harmful to a child; That a child's natural parent: (A) intentionally, knowingly, or recklessly causes the death of another parent of the child, (B) is identified by a law enforcement agency as the primary suspect in an investigation for intentionally, knowingly, or recklessly causing the death of another parent of the child, or (C) is being prosecuted for or has been convicted of intentionally, knowingly, or recklessly causing the death of another parent of the child

2021

## Table II (continued)

		Response
2.	Subtypes of maltreatment included in state	
	definition	
a.	Subtypes of maltreatment considered abuse	Abuse includes sexual exploitation, sexual abuse, and human trafficking; Physical abuse may also include a child who suffered physical harm during a domestic violence episode; A child could be at risk of being neglected or abused because another child in the same home is neglected or abused or sibling at risk
b.	Subtypes of maltreatment considered neglect	Neglect includes abandonment (including an unregulated custody transfer), inability to meet parent responsibilities, medical neglect, educational neglect, environmental neglect, failure to protect, failure to thrive, non-supervision, and physical neglect (inadequate clothing, shelter, food, or other care necessary for the child's health, safety, morals, or well-being); A child could be at risk of being neglected or abused because another child in the same home is neglected or abused or sibling at risk
C.	Subtypes of maltreatment considered other than abuse or neglect	Not applicable
3.	Level of harm included in state's definition of child maltreatment	
a.	Inflicts harm	Yes
b.	Imminent danger or substantial risk of harm	Yes
4.	Differences in level of harm included in state's definition of child maltreatment by type of maltreatment	No
5.	Type of harm or injury specified in state's definition of child maltreatment	
a.	Death, bodily injury, impairment of physical condition	Yes
b.	Impairment of mental or emotional condition	Yes
C.	Harmful environment, conditions	Yes
d.	Type of harm or injury not specified	No
e.	Other (specify)	No
6.	Variation in extent of injury or harm by maltreatment type in the state's definition of child maltreatment	No
7.	Perpetrator identified as part of state's definition of child maltreatment	Yes
8.	Types of perpetrators specified as part of state's definition of child maltreatment	
a.	Any adult	Yes
b.	Parent	Yes
C.	Guardian	Yes
d.	Caregiver/caretaker	No
e.	Family member/parent/paramour	No

2021

## Table II (continued)

	Response
f. Household member	No
g. Person responsible for child	Yes
h. Other (specify)	No
Types of perpetrators vary by type of maltreatment	No
10. Explanation of variation in types of perpetrator by maltreatment type	Not applicable
11. Child age included in definition of child maltreatment	Yes
12. Specific child age in definition of child maltreatment	Under age 18 and up to 21 if in the care of the agency
13. Variability of child age by type of maltreatment	Yes - Some criminal justice statutes define a child differently for purposes of particular sexual offenses

2021

Table III. Child maltreatment definition exemptions

		Response: Yes/No/Unknown
1.	Exemption included in state's definition of child maltreatment	
a.	Financial issues, financial inability to provide for a child	No
b.	Discipline; physical discipline, as long as it is reasonable and causes no bodily injury to the child	Yes
C.	Safe haven exemption; newborn relinquished or abandoned in accordance with infant safe haven laws	Yes
d.	Infant testing positive for drugs – medical; newborn with positive test for controlled substance as a result of parent's medical treatment	No
e.	Religious observance; parent relies on spiritual or religious forms of medical treatment	Yes
f.	Other exemption (specify)	No
2.	Safe haven exemption included in state's definition of child maltreatment	
a.	Must leave a child at specific safe haven locations	Yes
b.	Child must be left by parent or parent's agent	Yes
C.	Child must be left by a certain age (specify)	Yes - 30 days old or younger
d.	No intent to return	No
e.	Child must be left unharmed	Yes
f.	Other (specify)	No

2021

Table IV. Definitions and response for child fatalities and near-fatalities cases

	Response: Yes/No/Unknown
1. State's definition of fatalities or deaths caused by child maltreatment	
a. Not specified/not defined	No
b. Injury from abuse or neglect caused death	Yes
c. Abuse or neglect was contributing factor in death	Yes
d. Death of child was in child welfare custody/foster care	Yes
e. Other (specify)	No
f. Unknown	No
2. State conducts case reviews with a child fatality review team or a similar review process for fatalities caused by child abuse or neglect	
a. No case review or review process	No
b. Reviews are required of all or some cases	Yes
c. Reviews can be conducted but are not required	No
d. Other (specify)	No
e. Unknown	No
State's definition of near-fatalities or near-deaths caused by child maltreatment	
a. Not specified/not defined	No
b. General reference to a serious or critical condition/injury that is life threatening with a substantial risk of death	Yes
c. Specific injury or specific medical treatment/intervention (specify)	Yes - A child who is certified by a physician to be in serious or critical condition and has been admitted to an Intensive Care Unit as a result of an injury or illness as a direct result of child abuse or neglect
d. Other (specify)	No
e. Unknown	No
4. State conducts case reviews with a review team or similar review process for near-fatalities caused by child abuse or neglect	
a. No case review or review process	No
b. Reviews are required of all or some cases	Yes
c. Reviews can be conducted but are not required	No
d. Other (specify)	No
e. Unknown	No

# Domain R: Reporting child abuse and neglect

Table V. Reporting policies

ıaı	Table V. Reporting policies				
		Response			
1.	Statewide centralized reporting	Yes			
2.	How reporting is decentralized				
a.	Each county or region has its own reporting hotline	Logical Skip			
b.	Some counties or regions have their own reporting hotline	Logical Skip			
C.	During some times of the day, counties or regions have their own reporting hotline	Logical Skip			
d.	Other (specify)	Logical Skip			
3.	Standard for reporting child maltreatment				
a.	Known abuse and neglect	Yes			
b.	Reasonable cause to believe a child was abused or neglected	Yes			
4.	Universal mandated reporting	Yes			
5.	Required training for mandated reporters	No			
6.	Penalties for failure to report	Yes, all adults			
7.	Specific penalties for failure to report				
a.	Criminal charges	Yes			
b.	Civil charges	No			
C.	Professional licensure suspended or revoked	No			
d.	Other (specify)	Yes - The court may also order the individual to complete community service hours or complete a program on preventing abuse and neglect of children			
8.	Penalties for false reporting	Yes			
9.	Specific penalties for false reporting				
a.	Criminal charges	Yes			
b.	Civil charges	Yes			
C.	Professional license suspended or revoked	No			
d.	Other (specify)	No			
e.	Unknown	No			
10	. Immunity for reporters of child abuse and neglect	Yes			
11	. Information requested at the time of report				
a.	Identifying information of child	Yes			
b.	Location/contact information of child and family	Yes			
C.	Type/severity of suspected maltreatment	Yes			
d.	Date of suspected maltreatment	No			
e.	Identifying and other information of alleged perpetrator(s)	Yes			
f.	Identifying and other information of reporter	Yes			
g.	Identifying information of child's parents, guardian, or caregiver/caretaker	Yes			
h.	Identifying and other information of family/household members	Yes			
i.	Information on prior maltreatment	Yes			

2021

## Table V (continued)

	Response
j. Other (specify)	Yes - A query of Child and Family Services' Child Welfare Management Information System (SAFE) and Electronic Resource and Eligibility Product (EREP); Willingness of the referent to testify; Special circumstances or precautions recommended for investigation; Third-party/collateral contacts
12. Anonymity of reporter	
a. All reporters (including mandated reports) can stay anonymous	Yes
b. The general public can be anonymous (mandated reporters cannot remain anonymous)	No
c. Reporters (including mandated reporters) cannot be anonymous	No
d. Unknown	No
13. Tribal involvement in accepting reports of tribal cases	
a. Tribes are not involved	No
b. Tribes accept reports (specify tribes)	No
c. Collaboration of tribes with state/local public child welfare agency to accept reports (specify tribes)	No
d. Tribes accept reports and collaborate with state/local public child welfare agency (specify tribes)	No
e. Unknown	Yes
14. State requires all notifications of substance-exposed newborns (SENs) to be submitted as reports of child maltreatment	
State does not require all cases of SENs to be reported for child maltreatment, but they could be reported if they meet certain criteria	No
b. State requires all SENs to be reported as child maltreatment	Yes
c. Other (specify)	No
d. Unknown	No
15. Accepts reports of risk without an allegation of child maltreatment (risk-only reports)	
a. No	Yes
b. Yes	No
c. Other (specify)	No
d. Unknown	No

Table VI. Types of mandated reporters

		Response	Response	Response
1.	Types of mandated reporters	Included in state's definition of mandated reporters	Training required <sup>1</sup>	Subject to penalties for failure to report <sup>2</sup>
a.	Foster parents	Yes	Logical Skip	Yes
b.	School staff - teachers	Yes	Logical Skip	Yes
C.	School bus drivers or other transportation staff	Yes	Logical Skip	Yes
d.	Before- /after-school program staff	Yes	Logical Skip	Yes
e.	Child care staff	Yes	Logical Skip	Yes
f.	Camp counselors, directors, or administrators	Yes	Logical Skip	Yes
g.	Athletic coaches or staff	Yes	Logical Skip	Yes
h.	Medical or dental professionals	Yes	Logical Skip	Yes
i.	Substance abuse disorder treatment providers	Yes	Logical Skip	Yes
j.	Mental health, counselors, or other social service professionals	Yes	Logical Skip	Yes
k.	Police or other law enforcement	Yes	Logical Skip	Yes
I.	Emergency medical technicians, firefighters, or other emergency personnel	Yes	Logical Skip	Yes
m.	Judges	Yes	Logical Skip	Yes
n.	District attorneys or other attorneys	Yes	Logical Skip	Yes
0.	Guardian ad litems or court-appointed special advocates	Yes	Logical Skip	Yes
p.	Other court personnel	Yes	Logical Skip	Yes
q.	Shelter staff	Yes	Logical Skip	Yes
r.	Those who work in fields processing or monitoring print, film, or computer images	Yes	Logical Skip	Yes
S.	Religious clergy	Yes	Logical Skip	Yes
t.	Volunteers	Yes	Logical Skip	Yes
u.	Coroners or medical examiners	Yes	No	Yes
٧.	Staff or contractors of state and county agencies	Yes	No	Yes
W.	Other type of mandated reporter (specify)	Yes	Logical Skip	Yes - All adults

2021

### Table VI (continued)

<sup>1</sup>Responses in this column can equal logical skip when the given type of mandated reporter is not included in the state's definition of mandated reporters or when no training is required for mandated reporters (Table V.5). Responses in this column can be yes when a state has universal mandated reporting (Table V.4) and all mandated reporters require training (Table V.5).

<sup>2</sup>Responses in this column can equal logical skip when the given type of mandated reporter is not included in the state's definition of mandated reporters or when no mandated reporters are subject to penalties (Table V.6). Reponses in this column can be yes when a state has universal mandated reporting (Table V.4) and when all adults or all mandated reporters are subject to penalties (Table V.6).

# Domain S: Screening reports of child abuse and neglect

### Table VII. Screening policies

		Response
1.	Statewide centralized screening	Yes
2.	How screening is decentralized	
a.	Each county or region has its own screening unit	Logical Skip
b.	Some counties or regions have their own screening units	Logical Skip
C.	During certain times of the day, counties or regions have their own screening units	Logical Skip
d.	Other (specify)	Logical Skip
3.	Information required to screen in report	
a.	Identifying information of child	Yes
b.	Location/contact information of child and family	Yes
C.	Type/severity of suspected maltreatment	Yes
d.	Date of suspected maltreatment	No
e.	Identifying and other information of alleged perpetrator(s)	No
f.	Other (specify)	Yes - A query of Child and Family Services' Child Welfare Management Information System (SAFE) and Electronic Resource and Eligibility Product (EREP)
g.	Unknown	No

2021

Table VIII. Screening decision process and activities

				Conditions or
		Response	Required cases	types of cases
1.	Decision processes used during screening			
a.	Supervisory review	No	Logical Skip	_
b.	Team-based decision	No	Logical Skip	_
C.	Individual screener	Yes	Required for all	_
d.	Other (specify)	No	Logical Skip	_
e.	Unknown	No	_	_
2.	Variability of decision process used for screening			
a.	Consistent statewide	Yes	_	_
b.	Varies locally	No	_	_
C.	Unknown	No	_	_
3.	Certain activities or information are required as part of screening	Yes		
4.	Activities/information required as part of screening			
a.	Safety or risk assessment	No	Logical Skip	Not applicable
b.	Review agency records for prior involvement with child protective services	No	Logical Skip	Not applicable
C.	Other (specify)	Yes - Priority response assignment	Required for all	Not applicable
5.	Types of safety/risk assessment used during screening			
a.	Structured decision making	Logical Skip	_	_
b.	Other (specify)	Logical Skip		_
6.	Consistency of screening activities/information			
a.	Consistent statewide	Yes	_	_
b.	Varies locally (specify)	No	_	_
C.	Unknown	No	_	_

### Table IX. Screeners

		Response
1.	Screener of abuse and neglect reports	
a.	Caseworkers (frontline staff)	Yes
b.	Case managers (supervisors)	No
C.	Staff in specialized screening unit	No
d.	Other (specify)	No
2.	Qualifications of screener	
a.	Associate's degree	No
b.	Bachelor's degree	Yes
C.	Master's degree	No
d.	Training for screening (specify)	Yes - Core curriculum; On-the-job training that includes shadowing experienced child welfare caseworkers (required for caseworkers with less than 24 months of experience); Application of risk assessment tools
e.	Years of experience (specify)	No
f.	Other (specify)	No
g.	Unknown	No
3.	Degree in social work or related field for	
	screeners	
a.	Not required	No
b.	Required	No
C.	Recommended or preferred, but not required	Yes
d.	Other (specify)	No
e.	Unknown	No
4.	Tribal involvement in screening process of tribal cases	
a.	Tribes are not involved	No
b.	Tribes conduct screening (specify tribes)	No
C.	Collaboration of tribes with state/local public child welfare agency (specify tribes)	No
d.	Tribes conduct screening and collaborate with state/local public child welfare agency (specify tribes)	No
e.	Unknown	Yes

2021

## Domain I: Investigations of child abuse and neglect

Table X. Investigations policies

ıaı	ne A. investigations policies	
		Response
1.	Child maltreatment investigations lead to criminal penalties	Yes
2.	Investigator for reports	
a.	Caseworkers (frontline staff)	Yes
b.	Case managers (supervisors)	No
C.	Staff in specialized investigations unit	No
d.	Law enforcement	Yes
e.	Other (specify)	Yes - Tribes
3.	Qualifications of investigator	
a.	Associate's degree	No
b.	Bachelor's degree	Yes
C.	Master's degree	No
d.	Training for conducting investigations (specify)	Yes - Core curriculum; On-the-job training that includes shadowing experienced child welfare caseworkers (required for caseworkers with less than 24 months of experience); Application of risk assessment tools
e.	Years of experience (specify)	No
f.	Other (specify)	No
g.	Unknown	No
4.	Degree in social work or related field for investigators	
a.	Not required	No
b.	Required	No
C.	Recommended or preferred, but not required	Yes
d.	Other (specify)	No
e.	Unknown	No
5.	Level of evidence required for substantiation (founded/indicated/confirmed)	
a.	Preponderance of evidence	Yes
b.	Credible or substantial evidence	No
C.	Probable or reasonable cause	No
d.	Unknown	No
6.	Investigation determination can result in an "inconclusive" finding	
a.	No	Yes
b.	Yes	No
C.	Unknown	No

profile: Utah 2021

Table XI. Required activities/information for investigation

Certain activities/information required for the investigation process		•			
Prequired for the investigation process     Specific activities or information required for investigation     Required for all involvement with child protective services      Visit to child's home     Ves     Required for some     Required for some      Required for some     Required for some     Visits are not required if abuse was committed by a person who: is not the child's home, or does not have access to the child's home; Visits are not required if it is not necessary to obtain evidence for the investigation      Interview or observation of child victim      Interview or observation of other children living in child's home     Required for some      Interview or observation of other children living in child's home     Required for all Not applicable			Response	-	
a. Review agency records for prior involvement with child protective services  b. Visit to child's home  Yes  Required for all visits are not required if abuse was committed by a person who: is not the child's parent, does not live in the child's home; Visits are not required if the child's home; Visits are not required if abuse was committed by a person who: is not the child's home; Visits are not required if it is not chessary to obtain evidence for the investigation  c. Interview or observation of child victim  d. Interview or observation of other children living in child's home  e. Risk or safety assessment  f. Evaluation of home environment or home study  g. Interviews with child's parents, caregivers, or other adults residing in child's home  h. Check of criminal records for adults in home  i. Check of criminal records for adults in home  j. Medical evaluation  Yes  Required for all  Not applicable  Not applicable  Not applicable  Not applicable  Yes  Required for all  Not applicable  Pyes  Required for all  Not applicable	1.	required for the investigation	Yes		
involvement with child protective services  b. Visit to child's home  Yes  Required for some  C. Interview or observation of child victim  C. Interview or observation of child victim  Required for some  Required for all Not applicable  Required for all Not appli	2.				
abuse was committed by a person who: is not the child's parent, does not tive in the child's home, or does not have access to the child's home, Visits are not required if it is not necessary to obtain evidence for the investigation  c. Interview or observation of child victim  c. Interview or observation of child victim  d. Interview or observation of other children living in child's home  e. Risk or safety assessment  f. Evaluation of home environment or home study  g. Interviews with child's parents, caregivers, or other adults residing in child's home  h. Check of criminal records for adults in home  i. Check of child welfare or central registry for prior child maltreatment allegations against adults in home  j. Medical evaluation  Yes  Required for all Not applicable  Required for all Not applicable  Required for all Not applicable  Not applicable  Persource of child welfare or central registry for prior child maltreatment allegations against adults in home  j. Medical evaluation  Yes  Required for some  Required for all Not applicable  Physical abuse; Sexual abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment  Required for some  Physical abuse; Sexual abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment	а.	involvement with child protective	Yes	Required for all	Not applicable
victim       some       children 5 and above         d. Interview or observation of other children living in child's home       No       Logical Skip       Not applicable         e. Risk or safety assessment       Yes       Required for all       Not applicable         f. Evaluation of home environment or home study       No       Logical Skip       Not applicable         g. Interviews with child's parents, caregivers, or other adults residing in child's home       Yes       Required for all       Not applicable         h. Check of criminal records for adults in home       No       Logical Skip       Not applicable         i. Check of child welfare or central registry for prior child maltreatment allegations against adults in home       Yes       Required for all abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment         j. Medical evaluation       Yes       Required for some       Physical abuse; Sexual abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment	b.	Visit to child's home	Yes	· · · · · · · · · · · · · · · · · · ·	abuse was committed by a person who: is not the child's parent, does not live in the child's home, or does not have access to the child's home; Visits are not required if it is not necessary to obtain evidence for the
children living in child's home  e. Risk or safety assessment  f. Evaluation of home environment or home study  g. Interviews with child's parents, caregivers, or other adults residing in child's home  h. Check of criminal records for adults in home  i. Check of child welfare or central registry for prior child maltreatment allegations against adults in home  j. Medical evaluation  Yes  Required for all  Not applicable  Not applicable  Not applicable  Not applicable  Required for all  Not applicable  Required for all  Not applicable  Required for all  Not applicable  Physical abuse; Sexual abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment  k. Mental health evaluation  Yes  Required for some  Required for some  Required for some  Required for physical abuse; Sexual abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment	C.		Yes	· · · · · · · · · · · · · · · · · · ·	•
f. Evaluation of home environment or home study  g. Interviews with child's parents, caregivers, or other adults residing in child's home  h. Check of criminal records for adults in home  i. Check of child welfare or central registry for prior child maltreatment allegations against adults in home  j. Medical evaluation  Yes  Required for all  Not applicable  Not applicable  Required for all  Not applicable  Physical abuse; Sexual abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment  Required for some  Required for some  Required for all  Not applicable  Required for all  Not applicable	d.		No	Logical Skip	Not applicable
g. Interviews with child's parents, caregivers, or other adults residing in child's home  h. Check of criminal records for adults in home  i. Check of child welfare or central registry for prior child maltreatment allegations against adults in home  j. Medical evaluation  Yes  Required for all Not applicable  Required for all Not applicable  Yes  Required for some  Physical abuse; Sexual abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment  Required for some  Required for some  Required for some  Physical abuse; Sexual abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment	e.	Risk or safety assessment	Yes	Required for all	Not applicable
caregivers, or other adults residing in child's home  h. Check of criminal records for adults in home  i. Check of child welfare or central registry for prior child maltreatment allegations against adults in home  j. Medical evaluation  Yes  Required for all Physical abuse; Sexual abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment  k. Mental health evaluation  Yes  Required for some  Physical abuse; Sexual abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment	f.		No	Logical Skip	Not applicable
in home  i. Check of child welfare or central registry for prior child maltreatment allegations against adults in home  j. Medical evaluation  Yes  Required for all  Required for some  Required for some  Required for some  Physical abuse; Sexual abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment  k. Mental health evaluation  Yes  Required for some  Physical abuse; Sexual abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment	g.	caregivers, or other adults residing	Yes	Required for all	Not applicable
registry for prior child maltreatment allegations against adults in home  j. Medical evaluation  Yes  Required for some  Required for some  Wester to a hazardous, illegal chemical environment  k. Mental health evaluation  Yes  Required for some  Physical abuse; Sexual abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment  illegal chemical environment	h.		No	Logical Skip	Not applicable
some abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment  k. Mental health evaluation Yes Required for some Physical abuse; Sexual abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment	i.	registry for prior child maltreatment	Yes	Required for all	Not applicable
some abuse; Medical neglect; Exposure to a hazardous, illegal chemical environment	j.	Medical evaluation	Yes	=	abuse; Medical neglect; Exposure to a hazardous,
I. Interview alleged perpetrator Yes Required for all Not applicable	k.	Mental health evaluation	Yes	· · · · · · · · · · · · · · · · · · ·	abuse; Medical neglect; Exposure to a hazardous,
	I.	Interview alleged perpetrator	Yes	Required for all	Not applicable

2021

## Table XI (continued)

	Response	Required cases	Conditions or types of cases
m. Interview reporter or collateral source	Yes	Required for some	Reports that were not made anonymously; Reports for which the third party/collateral contacts have had direct association with the child, or who are otherwise knowledgeable about the child's safety and family's functioning; Where possible and appropriate
n. Other (specify)	No	Logical Skip	Not applicable

2021

# **Domain W: Child welfare response**

Table XII. Differential or alternative response

	Response
1 Differential/alternative response	
a. No	Yes
b. Yes - implemented statewide	No
c. Yes - implemented in specific counties or regions (specify)	No
d. Unknown	No
2. Types of maltreatment eligible for differential/alternative response	
a. All types of maltreatment are eligible	Logical Skip
b. Only certain types of maltreatment are eligible	Logical Skip
c. Unknown	Logical Skip
3. Types of maltreatment <u>not</u> eligible for differential/alternative response	
a. Cases involving child fatalities	Logical Skip
b. Substance-exposed infants	Logical Skip
c. Physical abuse	Logical Skip
d. Sexual abuse	Logical Skip
e. Neglect	Logical Skip
f. Abandoned infants	Logical Skip
g. Other (specify)	Logical Skip
4. Eligibility for differential/alternative response determined by a risk determination	
a. No	Logical Skip
b. Yes	Logical Skip
c. Other (specify)	Logical Skip
d. Unknown	Logical Skip
5. Tools used to determine risk for differential/alternative response	Logical Skip
Risk level eligible for differential/alternative response	
a. No risk	Logical Skip
b. Low risk	Logical Skip
c. Moderate risk	Logical Skip
d. Other (specify)	Logical Skip
e. Unknown	Logical Skip

2021

## Table XII (continued)

		Response
7.	Other types of cases or conditions eligible for differential/alternative response	
a.	No other cases or conditions	Logical Skip
b.	No immediate safety concerns	Logical Skip
C.	No or few prior reports of child abuse or neglect	Logical Skip
d.	Other (specify)	Logical Skip
8.	When is determination made for differential/alternative response	
a.	At time of screening to screen out to differential response	Logical Skip
b.	After a report is screened in	Logical Skip
C.	Other (specify)	Logical Skip
9.	Referrals to community services for cases engaged in differential response	
a.	No	Logical Skip
b.	Yes - for all cases	Logical Skip
C.	Yes - when families express interest	Logical Skip
d.	Yes - when there is a determination of risk	Logical Skip
e.	Yes - other (specify)	Logical Skip

2021

Table XIII. In-home services, foster care, and permanency

Table Alli. III-liolile Services, Toster Care, and per	
	Response
In-home services provided for unsubstantiated cases to maintain intact families	
a. No	No
b. Yes - implemented statewide	Yes
c. Yes - implemented in specific counties or regions	No
d. Unknown	No
2. In-home services provided post reunification	
a. No	No
b. Yes - implemented statewide	Yes
c. Yes - implemented in specific counties or regions	No
d. Unknown	No
3. Tribal involvement in foster care for tribal cases	
a. Tribes do not provide foster care	No
b. Tribes provide foster care (specify tribes)	Yes - Navajo Nation; Ute Indian Tribe
c. Unknown	No
4. Foster care extension for those older than 18	Yes
5. Age youth are allowed to remain in extended foster care	
a. Age 21	Yes
b. Other (specify)	No
6. Permanency	
a. Kinship guardianship as a permanency option	Yes
b. Subsidized guardianship	Yes
c. Subsidized kinship guardianship	Yes
d. Subsidized adoption	Yes
7. Foster care case management staff	
a. State/county public agency staff	Yes
b. Contracted provider staff	No
c. Tribal agency staff	Yes
d. Unknown	No
8. Qualifications of foster care case managers	
a. Associate degree	No
b. Bachelor's degree	Yes

2021

## Table XIII (continued)

	Response
d. Training for case management (specify)	Yes - Core curriculum; On-the-job training that includes shadowing experienced child welfare caseworkers (required for caseworkers with less than 24 months of experience); Application of risk assessment tools Caseworker: A person employed by or contracted with Child and Family Services to provide professional casework, case management, contract management, or direct service and contract management supervision. The person will have, at minimum, a bachelor's degree, but may not have met the requirements to be a licensed worker; Workers are considered caseworkers until they get their social service worker (SSW) license, at which point, they become social service workers
e. Years of experience (specify)	No
f. Other (specify)	No
g. Unknown	No
Degree in social work or related field for foster care case managers	
a. Not required	No
b. Required	No
c. Recommended or preferred, but not required	Yes
d. Other (specify)	No
e. Unknown	No

Table XIV. Agency staff dedicated to equity

		Response
1.	Child welfare agency uses staff roles/functions dedicated to addressing disproportionality and/or equity	
a.	No staff or roles	No
b.	Uses cultural brokers	No
C.	Uses manager, administrator, or office focused on diversity, equity, and inclusion (DEI) or multicultural or tribal affairs	Yes
d.	Other (specify)	Yes
e.	Unknown	No
2.	Aspects of child welfare process that involve cultural brokers	
a.	Screening process	Logical Skip
b.	Investigation process	Logical Skip
C.	Case management/child welfare response	Logical Skip
d.	Other (specify)	Logical Skip
e.	Unknown	Logical Skip
3.	Specify other staff roles/job functions dedicated to addressing disproportionality and/or equity	One department staff member who is focused on Equity, Diversity and Inclusion (EDI). They also have an EDI workgroup that consists of members from different departments.
4.	Aspects of child welfare process that involve other staff roles/functions used to address disproportionality and/or equity	
a.	Screening process	No
b.	Investigation process	No
C.	Case management/child welfare response	No
d.	Other (specify)	Yes - The EDI role and committee work with local universities to identify disproportionality in the state, where disproportionality occurs, and service gaps
e.	Unknown	No

profile: Utah 2021

# **Domain C: Child welfare system context**

Table XV. Child welfare system context

		Response
1.	State- or county-administered child welfare system	
a.	State administered	Yes
b.	County administered	No
C.	Hybrid (partially administered by the state and partially administered by the counties)	No
d.	Unknown	No
2.	State operates under legal consent decree or other court-ordered monitoring	No

profile: Utah 2021

### **Supplemental Notes on State**

#### **Definitions**

The state defines "material harmful to a child" as any visual, pictorial, audio, or written representation (in whatever form, including performance) that includes pornographic or sexually explicit material, including nudity, sexual conduct, sexual excitement, or sadomasochistic abuse that meets all the following conditions:

- 1. Taken as a whole, appeals to the prurient interest in sex of a child
- 2. Is patently offensive to prevailing standards in the adult community as a whole with respect to what is suitable material for a child
- 3. Taken as a whole does not have serious value for a child ("serious value" includes only serious literary, artistic, political, or scientific value for a child)

A perpetrator who is a person responsible for a child includes permitting another person to abuse or neglect a child.

The state defines near-fatality as a child who is certified by a physician to be in serious or critical condition and has been admitted to an intensive care unit as a result of an injury or illness as a direct result of child abuse or neglect. This does not include drug-exposed newborns who are admitted to a neonatal intensive care unit at the time of birth.

#### Reporting

Reports can be made to a peace officer, law enforcement agency, or office of the Division of Child and Family Services. Requirements for mandated reporters do not apply to a member of the clergy, with regard to any confession made to the member of the clergy while functioning in the ministerial capacity of the member of the clergy and without the consent of the individual making the confession, if two conditions are met:

- 1. The perpetrator made the confession directly to the member of the clergy
- 2. The member of the clergy is, under canon law or church doctrine or practice, bound to maintain the confidentiality of that confession

When a member of the clergy receives information about abuse or neglect from any source other than a confession by the perpetrator, the member of the clergy is required to report that information, even though the member of the clergy may have also received information about abuse or neglect from the confession of the perpetrator.

#### **Screening**

The title assigned to caseworkers and frontline staff who conduct screening is "child and family services intake worker."

2021

### **State Statutes and Policy Documentation Sources**

Child Protective Services, General Allegation Categories, Utah Code. Ann § RS512-202 (2018). Retrieved July 18, 2019, from a link which is no longer available.

Child Protective Services, Investigation Services, Utah Code. Ann § RS512-201. (2018). Retrieved July 18, 2019, from a link which is no longer available.

Child and Family Services, Utah Code. Ann. § 62A-16 (2021).

Child and Family Services, Utah Code. Ann. § 62A-4a (2021).

Juvenile Court Act, Utah Code. Ann. § 78A-6 (2021).

Offenses Against the Person, Utah Code. Ann. § 76-5 (2021).

Utah's Division of Child and Family Services (2017). *Indian Child Welfare Act practice guidelines*. Retrieved July 18, 2019, from <a href="https://www.powerdms.com/public/UTAHDHS/documents/275070">https://www.powerdms.com/public/UTAHDHS/documents/275070</a>.

Utah's Division of Child and Family Services (2019). *In-home and community-based family preservation and support services practice guidelines*. Retrieved March 16, 2020, from <a href="https://www.powerdms.com/public/UTAHDHS/documents/274983">https://www.powerdms.com/public/UTAHDHS/documents/274983</a>.

Utah's Division of Child and Family Services (2020). *Out of home services practice guidelines*. Retrieved July 8, 2021, from <a href="https://public.powerdms.com/UTAHDHS/documents/274994">https://public.powerdms.com/UTAHDHS/documents/274994</a>.

Utah's Division of Child and Family Services (2021). *CPS definitions practice guidelines*. Retrieved July 8, 2021, from <a href="https://public.powerdms.com/UTAHDHS/documents/275074">https://public.powerdms.com/UTAHDHS/documents/275074</a>.

Utah's Division of Child and Family Services (2021). *CPS practice guidelines*. Retrieved July 8, 2021, from <a href="https://public.powerdms.com/UTAHDHS/documents/274985">https://public.powerdms.com/UTAHDHS/documents/274985</a>.

Utah's Division of Child and Family Services. (2020). *Kinship practice guidelines*. Retrieved July 1, 2021, from <a href="https://www.powerdms.com/public/UTAHDHS/documents/275057">https://www.powerdms.com/public/UTAHDHS/documents/275057</a>.